



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George M. Johnson; Ross

Confirmation No.

7813

Tsukashima; Gerard von Hoffmann; John T. Kilcoyne;

Mathew T. Yurek; Scott Harris

Serial No.:

09/702,002

Filed:

October 30, 2000

Examiner:

John P. Lacyk

Group Art Unit:

3735

Docket No.:

1065-011US04

Customer No.:

28863

Title:

METHOD AND DEVICE FOR TREATING GASTROESOPHAGEAL

REFLUX DISEASE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Applicant submits the references listed on the attached form PTO-1449. This statement is being filed within three months of the filing of a Request for Continued Examination (RCE) under 37 CFR 1.114.

Applicant has enclosed a copy of the foreign document cited. Also enclosed is a copy of the European Search Report. Copies of the U.S. patents are not enclosed as this requirement has been waived by the U.S. Patent Office.

Respectfully submitted,

Date:

Shumaker & Sieffert, P.A.

8425 Seasons Parkway, Suite 105

St. Paul, Minnesota 55125 Phone: (651) 735-1100

Fax: (651) 735-1102

By: Jason D. Kelly

Reg. No. 54,213

| Applicant: George M. Johnson; Ross Tsukashima; Gerard von Hoffmann; Kilcoyne; Mathew T. Yurek; Scott Harris Filing Date: October 30, 2000 Examiner Name: John P. Lacyk U.S. PATENT DOCUMENTS Examiner Initial 5,336,252 08/09/1994 Cohen 5,827,216 10/27/1998 Igo et al. FOREIGN PATENT DOCUMENTS | Form 1449* | | Docket Number: | | Application Number: 09/702,002 | | | |
|--|-----------------------------------|--------------------|--|--------------------------|---------------------------------------|----------------------------|----------|--|
| George M. Johnson; Ross Tsukashima; Gerard von Hoffmann; Kilcoyne; Mathew T. Yurek; Scott Harris Filing Date: Group Art Unit: October 30, 2000 3736 Examiner Name: John P. Lacyk U.S. PATENT DOCUMENTS Examiner Initial 5,336,252 08/09/1994 Cohen 5,827,216 10/27/1998 Igo et al. FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS Examiner Initial WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | | | | | | |
| Kilcoyne; Mathew T. Yurek; Scott Harris Filing Date: October 30, 2000 Examiner Initial Sagarage FOREIGN PATENT DOCUMENTS Examiner Initial Document Number Publication Date FOREIGN PATENT DOCUMENTS Examiner Initial WO 00/59398 WO 00/59398 FOREIGN PATENT DOCUMENTS Examiner Initial Name Filing I Approved Indication Date FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS Examiner Initial WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | George M. Johnson; Ross Tsukashima; Gerard von Hoffmann; John T. | | | | | |
| Filing Date: Group Art Unit: 3736 | 7 <i>8</i> 7 | | Kilcoyne; Math | | arris | | | |
| Examiner Name: John P. Lacyk U.S. PATENT DOCUMENTS Examiner Initial Document Number Issue/Document Publication Date 5,336,252 08/09/1994 Cohen 5,827,216 10/27/1998 Igo et al. FOREIGN PATENT DOCUMENTS Examiner Initial Publication Date FOREIGN PATENT DOCUMENTS Examiner Initial WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | (Use several sheets if necessary) | | | | | | | |
| John P. Lacyk | | | | | 3/36 | | | |
| U.S. PATENT DOCUMENTS | /پير | | | | | | | |
| | EMARK SE | | | NT DOCUMENTS | · · · · · · · · · · · · · · · · · · · | | ··· | |
| FOREIGN PATENT DOCUMENTS Examiner Initial Publication Date WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | Examiner Document Number | | | Name | | Filing Date If Appropriate | | |
| 5,827,216 10/27/1998 Igo et al. | | 5,336,252 | 08/09/1994 | Cohen | | | | |
| Examiner Initial Document Number Publication Country Transl Yes WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | 10/27/1998 | Igo et al. | | | | |
| Examiner Initial Document Number Publication Country Transl Yes WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | | | | | | |
| Examiner Initial Document Number Publication Country Transl Yes | | | | | | ļ | | |
| Examiner Initial Document Number Publication Country Transl Yes | | | | | | | | |
| Examiner Initial Document Number Publication Country Transl Yes | | | | | | - | <u> </u> | |
| Examiner Initial Document Number Publication Country Transl Yes | | | | + | 4.9 2. | - | | |
| Examiner Initial Document Number Publication Country Transl Yes | | | | | · · · · · · · · · · · · · · · · · | | | |
| Examiner Initial Document Number Publication Country Transl Yes WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | | 1 | *** | 1 | | |
| Examiner Initial Document Number Publication Country Transl Yes | | | | | | | | |
| Examiner Initial Document Number Publication Country Transl Yes | | | | | | | | |
| Initial Date Yes WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | FOREIGN PA | TENT DOCUMENTS | | | | |
| WO 00/59398 10/12/2000 PCT OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | Document Number | | Country | | Translation | | |
| OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Public Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | Initial | WO 00/59398 | | PCT | | Yes | No | |
| Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | W O 00/39398 | 10/12/2000 | ICI | | | | |
| Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | | | | | | |
| Supplementary European Search Report for PCT/US2001/0146201 dated July 19, 2 | | | | | | | | |
| | OTHER DO | OCUMENTS (Includia | ng Authors, Title of | Item, Page(s), Vol/Issue | No., Publisher, Pla | ce of Public | cation) | |
| | | 1 | ıropean Search Re | eport for PCT/US2001 | 1/0146201 dated | July 19, 2 | 2006 | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| EXAMINER Date Considered | EXAMINER | | | Date Considered | | | | |